# Finite-size scaling for the random cluster model on random graphs

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## Spin models on random graphs

Study statistical physics spin models on random graphs

Great source of examples and counterexamples in combinatorics

For probabilists, random graphs have non-trivial geometry but may be tractable due to connection with infinite trees (Bethe lattice)

A source of hard computational problems and gadgets in hardness reductions

#### Potts model

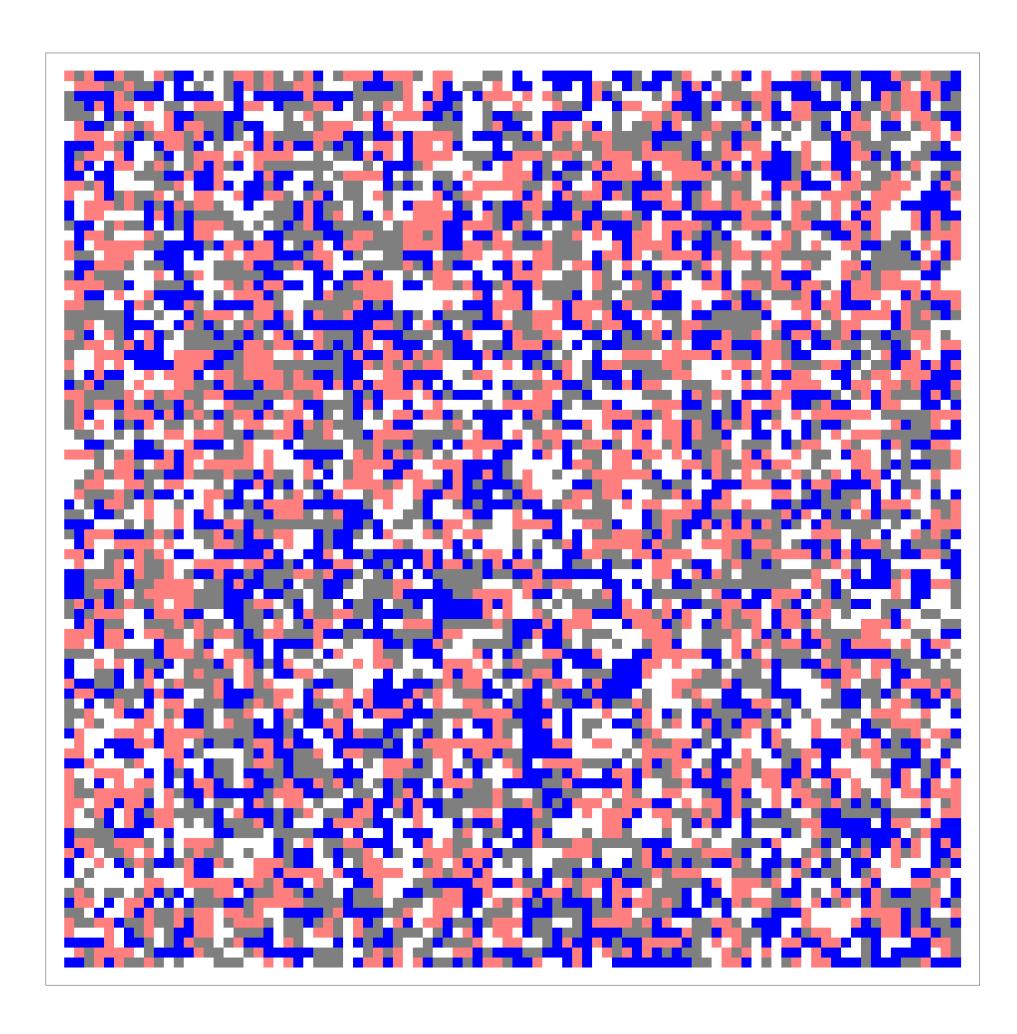
Probability distribution on assignments of q colors to vertices of G:

$$\mu_G^{\text{Potts}}(\sigma) = \frac{e^{\beta M(G,\sigma)}}{Z_G^{\text{Potts}}(q,\beta)} \qquad Z_G^{\text{Potts}}(q,\beta) = \sum_{\sigma} e^{\beta M(G,\sigma)}$$

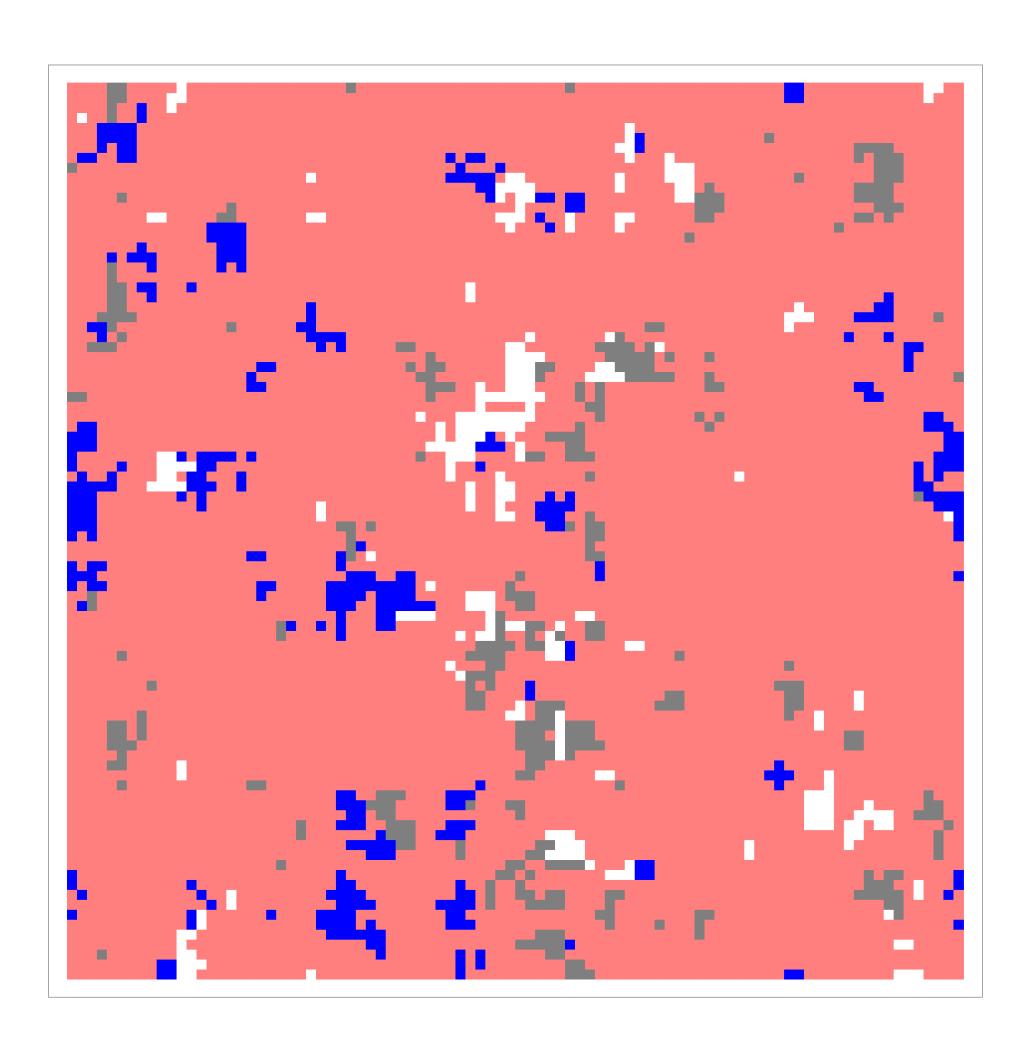
where  $M(G, \sigma)$  is the number of monochromatic edges.

Inverse temperature  $\beta > 0$  is the ferromagnetic case,  $\beta < 0$  is antiferromagnetic.

#### Potts model



High temperature ( $\beta$  small)



Low temperature ( $\beta$  large)

## Techniques to study spin models

In combinatorics: first and second-moment methods, Azuma's inequality, Chernoff bounds, Friedgut...

Give decent answers but fall short of pinning down thresholds (q-coloring threshold of G(n,p) still open!)

Non-rigorous cavity method from statistical physics predicts precise thresholds and structural changes (shattering, condensation,...).

Main objects: Belief propagation, Bethe formula, replica symmetry breaking

Many aspects of the cavity method have now been made rigorous: BP-inspired 2nd moment; interpolation method; Coja-Oghlan, Ding-Sly-Sun, Mossel-Neeman-Sly..

## Our technique

Abstract polymer models and the cluster expansion

Classical technique from statistical physics: used e.g. by Laanait-Messager-Miracle-Sole-Ruiz-Shlosman to understand the large-q 1st order phase transition for Potts on  $\mathbb{Z}^d$ 

Used recently in algorithms: joint w/ Helmuth-P.-Regts, Jenssen-Keevash-P., Borgs-Chayes-Helmuth-P.-Tetali

Used recently in combinatorics: Jenssen-P., Balogh-Garcia-Li, Davies-Jenssen-P., Jenssen-Keevash (talks on the "Big Seminar" youtube channel)

Write a partition function in terms of defects from a ground state (polymers)

Express the log partition function as an infinite series (sum over clusters)

## Potts model on random graphs

Ferromagnetic Potts: always replica symmetric, q ground states

Antiferromagnetic Potts: replica symmetry breaking, information-computation gap

## Potts on Random Graphs

Ferromagnetic Potts model on random d-regular graphs (Galanis-Stefankovic-Vigoda-Yang; also Dembo-Montanari-Sly-Sun):

Let 
$$\beta_c(q, d) = \log \frac{q - 1}{(q - 1)^{1 - 2/d} - 1}$$

For  $\beta < \beta_c$ , there is a disordered phase (marginals close to uniform whp)

For  $\beta > \beta_c$ , there are **q ordered phases** (marginals favor one dominant color)

For  $\beta = \beta_c$ , the q+1 phases each have at least  $1/n^c$  probability (weak phase coexistence)

Good understanding of the free energy:  $\lim_{n\to\infty}\frac{1}{n}\mathbb{E}\log Z_n$ 

## Potts on Random Graphs

What precisely happens at  $\beta = \beta_c$ ? (phase coexistence)

What is the distribution of  $\log Z_n$ ? (finite-size scaling)

How do correlations behave? (exponential decay of correlations?)

What is the local spin distribution? (local weak convergence)

We can answer all of these questions in detail when q=q(d) is large. More generally, we do so for the random cluster model.

#### Random cluster model

Probability distribution on subsets of edges of G.

$$\mu_G(A) = \frac{q^{c(A)}(e^{\beta} - 1)^{|A|}}{Z_G(q, \beta)} \qquad Z_G(q, \beta) = \sum_{A \subseteq E} q^{c(A)}(e^{\beta} - 1)^{|A|}$$

where c(A) is the number of connected components of (V, A).

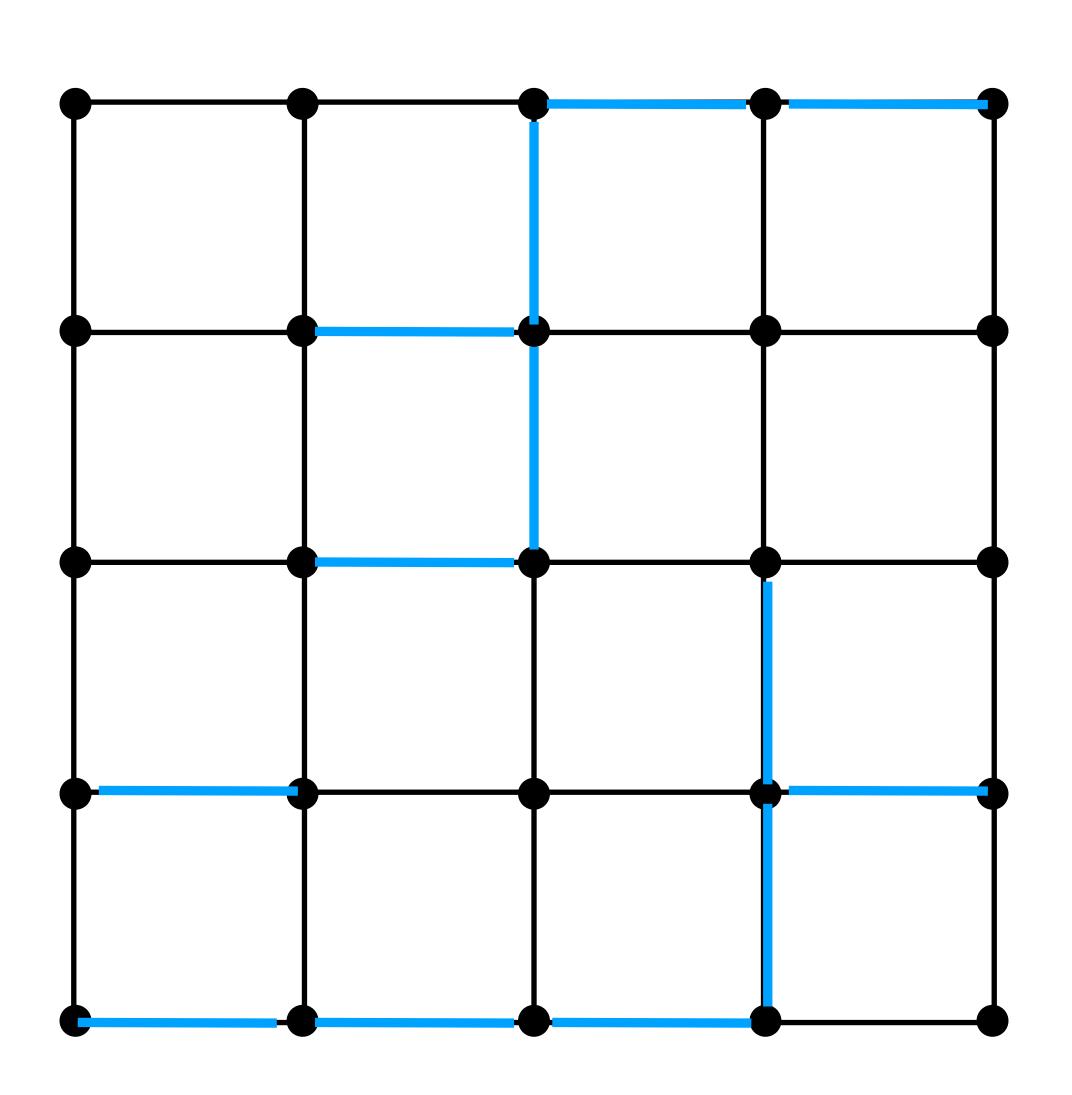
Two possible ground states: disordered  $A=\varnothing$ , ordered A=E.

$$q > 0$$
 real

## Edwards-Sokal coupling

$$Z_G^{\text{Potts}}(q,\beta) = Z_G(q,\beta)$$

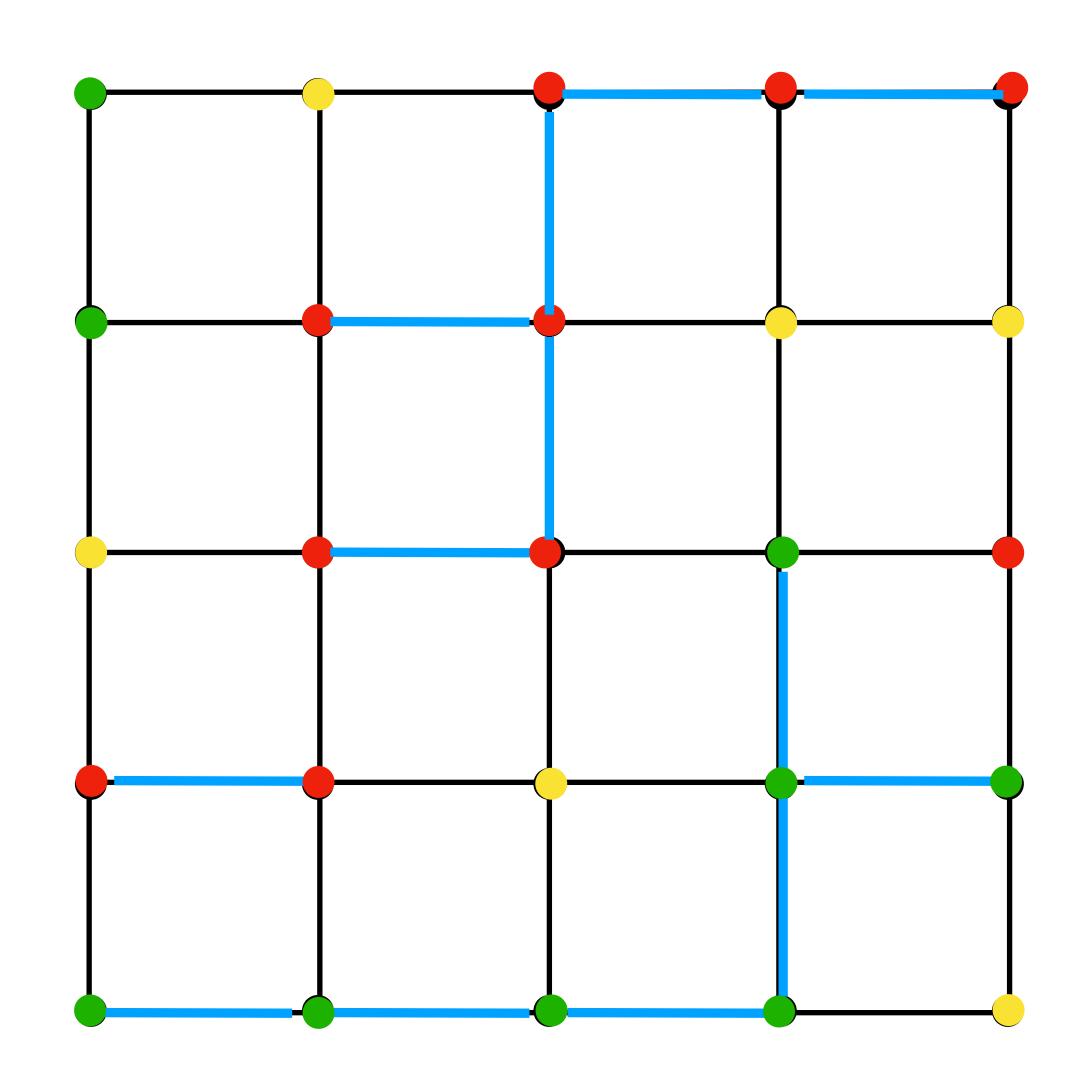
- 1. Pick a set of edges according to the random cluster measure
- 2. Determine the connected components



## Edwards-Sokal coupling

$$Z_G^{\text{Potts}}(q,\beta) = Z_G(q,\beta)$$

- 1. Pick a set of edges according to the random cluster measure
- 2. Determine the connected components
- 3. Assign one of the q colors uniformly and independently to each connected component



#### Main results

**Thm**. For  $d \ge 5$  and q = q(d) large enough, there exists  $\beta_c(q, d)$  so that:

- 1. For  $\beta \neq \beta_c$  the free energy is analytic and  $\mu_n$  exhibits exponential decay of correlations whp.
- 2.  $\mu_n$  converges locally to  $\mu_{\rm free}$  and  $\mu_{\rm wire}$  for  $\beta < \beta_c$  and  $\beta > \beta_c$  respectively
- 3. The relative weights of the ordered and disordered states at  $\beta=\beta_c$  converge to given random variables (a function of small cycle counts).  $\mu_n$  converges locally to a mixture of  $\mu_{\rm free}$  and  $\mu_{\rm wire}$

#### Main results

**Thm**. For  $d \ge 5$ , q = q(d) large enough, and **all**  $\beta$  there is an **FPTAS** and **efficient sampling algorithm** for the random cluster model on d-regular random graphs.

Algorithms work subject to expansion conditions that hold whp and can be checked efficiently.

## Step 1: almost all or nothing

Use **expansion properties** to show that for q large, with probability  $1 - \exp(-\Theta(n))$  a sample from the RC model consists of **at least .9** or **at most .1** fraction of edges. (Not hard)

Write 
$$Z = Z_{dis} + Z_{ord} + Z_{err}$$

Suffices to understand  $Z_{dis}$  and  $Z_{ord}$ , and  $\mu_{dis}$  and  $\mu_{ord}$ 

#### Polymer models and cluster expansion

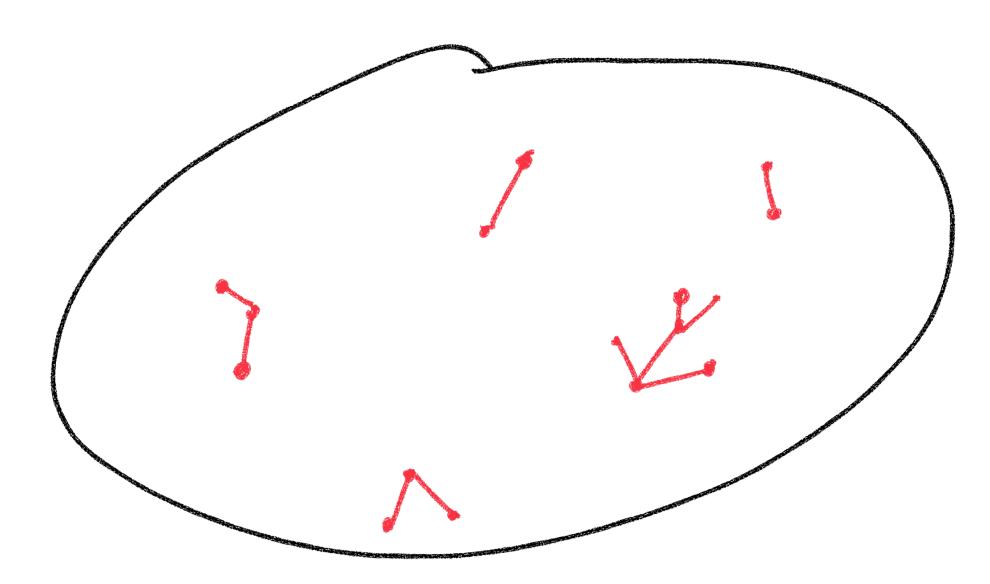
Rewrite partition function as a sum over collections of disjoint geometric objects (polymers) of product of polymer weights

If weights decay fast enough as a function of size, then the cluster expansion, a power series for log Z, converges

Weights must factorize and decay

## Step 2: disordered

Express disordered configurations in terms of deviations from the empty configuration

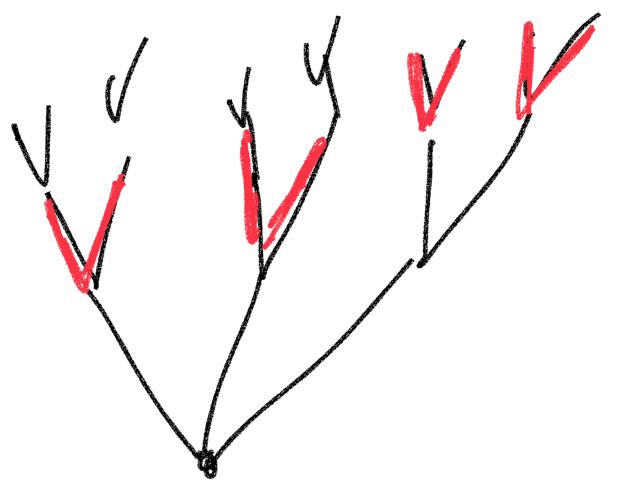


Polymers are connected components of occupied edges

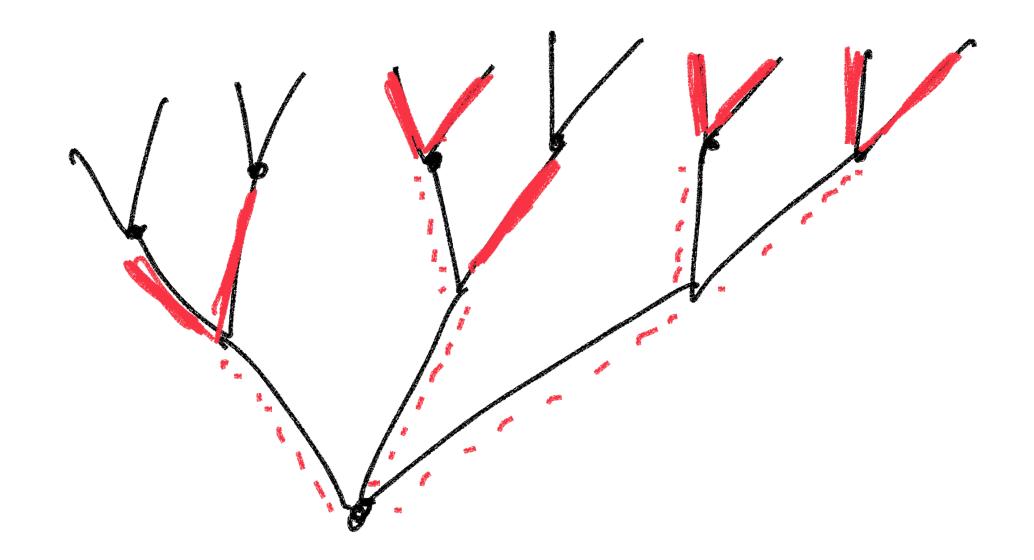
$$w(\gamma) = q^{1-|\gamma|} (e^{\beta} - 1)^{|E(\gamma)|}$$

## Step 2: ordered

Express ordered configurations in terms of defects from the all-occupied configuration



## Step 2: ordered



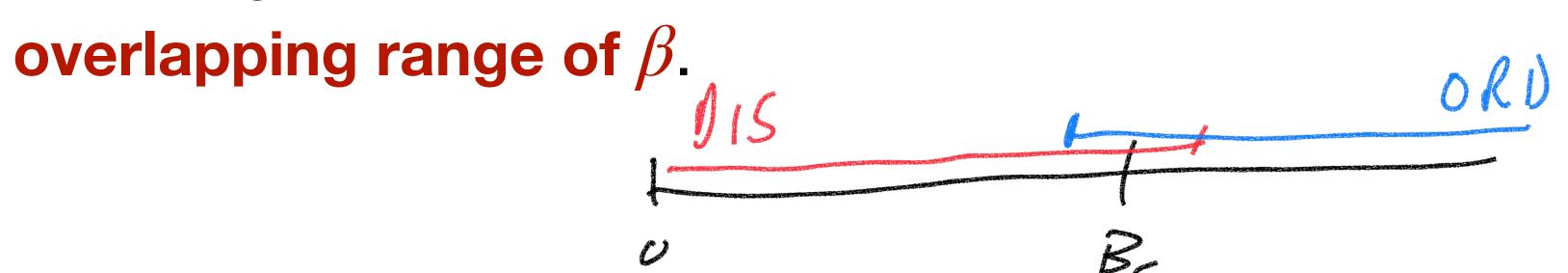
Define **boundary** by starting with unoccupied edges; inductively add all edges incident to any vertex with at least 5/9-fraction of its edges in boundary.

Polymers are connected components of the boundary

$$w(\gamma) = q^{c'(\gamma)} (e^{\beta} - 1)^{-|E_u(\gamma)|}$$

## Consequences

For q large, ordered and disordered cluster expansions converge in



Convergent cluster expansion gives properties like exponential decay of correlations, large deviation bounds, CLT's...

This also gives algorithms at all temperatures.

## Open questions

Prove that for the random cluster model on random d-regular graphs,

$$\beta_c = \log \frac{q - 2}{(q - 1)^{1 - 2/d} - 1}$$

Extend the current results to all  $d \ge 3$  (more refined def of ordered polymers)

Apply the second-moment method / cavity method to the random cluster model

Give sampling/counting algorithms for hard-core on random bipartite graphs for all  $\lambda$ 

Other applications of polymer models and cluster expansion in probabilistic combinatorics

## Thank you!